

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helland et al.

Art Unit: 2756

Application No. 09/425,102

Filed: October 22, 1999

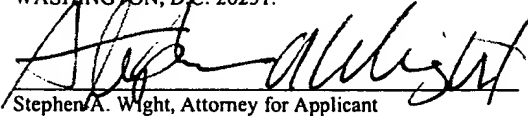
For: SOFTWARE COMPONENT EXECUTION  
MANAGEMENT USING CONTEXT OBJECTS  
FOR TRACKING EXTERNALLY-DEFINED  
INTRINSIC PROPERTIES OF EXECUTING  
SOFTWARE COMPONENTS WITHIN AN  
EXECUTION ENVIRONMENT

Examiner: Not yet assigned

Date: December 10, 2001

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on December 10, 2001 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

  
Stephen A. Wight, Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

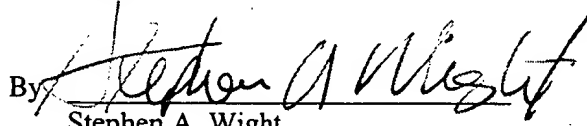
Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

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EXHIBIT H  
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<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>		Docket: 3382-53553	App: 09/425,102
		Applicant: Helland et al.	
		Filed: October 22, 1999	Art Unit: 2756
<b>OTHER DOCUMENTS</b>			
			R. W. Baldwin. Naming and Grouping Privileges to Simplify Security Management in Large Databases. In Proceedings of the 1990 IEEE Symposium on Security and Privacy, pages 116-132, May 1990.
			Nyanchama, M., Osborn, S. (1994) Access Rights Administration in Role-Based Security Systems. Proc. IFIP WG 11.3 Database Security, 1994. In: Database Security VIII, Status and Prospects (J. Biskup, M. Morgenstern, C. E. Landwehr, Eds). North Holland (Elsevier) (pp. 1-23).
			Moffett J.D., Sloman M.S. & Twidle K.P. (1990), Specifying Discretionary Access Control Policy for Distributed Systems, Computer Communications, vol 13 no 9 (November 1990) pp 571-580.
			U. S. National Institute of Standards and Technology. Federal information processing standards publication 140-1: Security requirements for cryptographic modules, January 1994 (pp. 1-53).
			Jonathan D. Moffett. Specification of Management Policies and Discretionary Access Control. In M. S. Sloman, editor, Network and Distributed Systems Management, chapter 18, pages 18-1 through 18-21. Addison-Wesley, 1994.
			Sloman, M., and J. Moffett. "Managing Distributed Systems", Domino Project Report , Imperial College, U.K. September 1989 (pp. 1-23).
			G. Wiederhold: Mediators in the Architecture of Future Information Systems. IEEE Computer, 25(3), 1992 (36 pages).
			M. S. Sloman, Policy Driven Management for Distributed Systems. Journal of Network and Systems Management, 2(4): 333-360, Plenum Press Publishing, 1994 (22 pages in downloaded format).
			Jonathan D. Moffett, Morris S. Sloman. Policy Hierarchies for Distributed Systems Management. IEEE Journal on Selected Areas in Communications, Special Issue on Network Management, 11(9):1404-1414, December 1993 (22 pages in downloaded format).
EXAMINER:		DATE	
*Examiner: Initial if considered, whether or not in conformance with MPEP 609: draw line through cite if not in conformance and not considered. Send copy			

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<b>OTHER DOCUMENTS</b>				
			Scott A. Gile. Reporting Application Usage in a LAN Environment, New Centerings in Computing Services, pp. 147-159 (1990)	
			Moffett J.D. & Sloman M.S. (1991b), The Representation of Policies as System Objects, Proceedings of the Conference on Organisational Computer Systems (COCS'91) Atlanta, GA, 5--8 November 1991, in SIGOIS Bulletin vol 12, nos 2 & 3, pp 171-184 (16 pages in downloaded format).	
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*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.				